#### X-Class: Text Classification with Extremely Weak Supervision

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# Overview

- Task
  - Text classification with extremely weak supervision, i.e.,only relying on the surface text of class names.



(a) NYT-Topics (b) N

(b) NYT-Locations

Figure 1: Visualizations of News using Average BERT Representations. Colors denote different classes.

- Key insights
  - ideal document representations should lead to very close results between clustering and the desired classification
    - i.e., doc embeddings should reflect class info in clustering

#### **Overview - three modules**



Figure 2: An overview of our X-Class. Given a raw input corpus and user-specified class names, we first estimate a class-oriented representation for each document. And then, we align documents to classes with confidence scores by clustering. Finally, we train a supervised model (e.g., BERT) on the confident document-class pairs.

## M1: Class-oriented Document Representation

- Class Representation Estimation
  - Weighted average representation based on a ranked list of keywords
  - Incrementally add new keywords to list by ranking similarities of out-of-list words
    - Top-ranked keywords are expected to have more similar static representations to the class representation
  - Stop condition
    - New class rep. Changed the current list OR reach max T
- Document Representation Estimation
  - 4 ways to compute attention weight
    - 2 token rep. + 2 attention mechanisms
  - A unified list of geometric mean of the 4 ranks
    - Assign a weight of 1/r to a token ranked at r-th position



Figure 3: Overview of Our Class Rep. Estimation.



Figure 4: Overview of Our Document Rep. Estimation.

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Algorithm 1: Class-Oriented Document Representation Estimation **Input**: n documents  $D_i$ , k class names  $c_i$ , max number of iterations T, and attention mechanism set M**Output**: Document representations  $E_i$ . Compute  $t_{i,i}$  (contextualized token rep.) Compute  $s_w$  for all words (Eq. 1) // class rep. estimation for  $j = 1 \dots k$  do  $\mathcal{K}_i \leftarrow \langle c_i \rangle$ for  $i = 2 \dots T$  do Compute  $x_i$  based on  $\mathcal{K}_i$  (Eq. 2)  $w = \arg\max_{w \notin \mathcal{K}_i} sim(\mathbf{s}_w, \mathbf{x}_j)$ Compute  $\mathbf{x}'_i$  based on  $\mathcal{K}_i \oplus \langle w \rangle$ // consistency check if  $\mathbf{x}'_i$  changes the words in  $\mathcal{K}_i$  then break else  $\mathcal{K}_i \leftarrow \mathcal{K}_i \oplus \langle w \rangle$ // document rep. estimation for i = 1 ... n do for attention mechanism  $m \in \mathcal{M}$  do Rank  $D_{i,i}$  according to m  $r_{m,j} \leftarrow$  the rank of  $D_{i,j}$ Rank  $\tilde{D}_{i,j}$  according to  $\prod_{m=1}^{\infty} r_{m,j}$  $r_i \leftarrow$  the final rank  $a_i \leftarrow 1/r_i$ 

### M2: Document-Class Alignment & M3: Text Classifier Train

- M2: Document-Class Alignment
  - each document is assigned to its nearest class  $L_i = \arg \max_c cos(\mathbf{E}_i, \mathbf{x}_c)$
  - Gaussian Mixture Model (GMM) clustering

- M3: Text Classifier Training
  - select most confident samples to train a text classifier (BERT) using the pseudo labels

#### Experiments

Table 2: Evaluations of Compared Methods and X-Class. Both micro-/macro- $F_1$  scores are reported. WeSTClass and ConWea consume at least 3 seed words per class. Supervised provides a kind of upper bound. We are not able to re-run WeSTClass and ConWea on DBpedia due to the large size.

Model	AGNews	20News	NYT-Small	NYT-Topic	NYT-Location	Yelp	DBpedia
Supervised	93.99/93.99	96.45/96.42	97.95/95.46	94.29/89.90	95.99/94.99	95.7/95.7	98.96/98.96
WeSTClass	82.3/82.1	71.28/69.90	91.2/83.7	68.26/57.02	63.15/53.22	81.6/81.6	81.1/ N/A
ConWea	74.6/74.2	75.73/73.26	95.23/90.79	<b>81.67/71.54</b>	85.31/83.81	71.4/71.2	N/A
LOTClass	<b>86.89/86.82</b>	73.78/72.53	78.12/56.05	67.11/43.58	58.49/58.96	87.75/87.68	86.66/85.98
X-Class	84.8/84.65	<b>81.36/80.6</b>	96.67/92.98	80.6/69.92	<b>90.5/89.81</b>	<b>88.36/88.32</b>	<b>91.33/91.14</b>
X-Class-Rep	77.92/77.03	75.14/73.24	92.13/83.94	77.85/65.38	86.7/87.36	77.87/77.05	74.06/71.75
X-Class-Align	83.1/83.05	79.28/78.62	96.34/92.08	79.64/67.85	88.58/88.02	87.16/87.1	87.37/87.28



(a) Our Class-Oriented Document Representations (b) Simple Average of BERT Representations

Figure 5: T-SNE Visualizations of Representations. From left to right: NYT-Topics, NYT-Locations, Yelp.